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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 05649/HG
INTERNATIONAL APPLICATION NO. PCT/JP2004/004412	INTERNATIONAL FILING DATE 29 March 2004	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/551252
TITLE OF INVENTION OPHTHALMIC DRUG DELIVERY SYSTEM USING POLYMER MICELLE		PRIORITY DATE CLAIMED 28 March 2003

APPLICANT(S) FOR DO/EO/US
 Kazunori KATAOKA, Yasuhiro TAMAKI, Atsushi HARADA, and Fumitaka TASAKA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☒ Other items or information:

a) 1st pg. of WO 2004/087103A1 (Publ. of Appln.); b) PCT/ISA/210 (Search Report); c) 2 sheets formal drawings (Figs. 1-1 to 2-4); d) PCT/IB/301 (Receipt of Record Copy); e) PCT/IB/304 (Sub. of Priority Doc); f) PCT/IB/307 (Withdraw of Designation); g) PCT/IB/308 (Notice-Appln. sent U.S.); h) PCT/IB/311 (Trans. of Intern'l Appln. as Publ.); i) Req. for Pub. of Assn. Info

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Date of Deposit: September 28, 2005

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

Laraine Dobies
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/551252</div>		INTERNATIONAL APPLICATION NO. PCT/JP2004/004412		ATTORNEY'S DOCKET NUMBER 05649/HG	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400.00	
TOTAL OF 21, 22 and 23 =				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	47 - 20 =	27	x \$ 50	\$ 1,350.00	
Independent claims	3 - 3 =	0	x \$200	\$ -	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 2,610.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3.					
SUBTOTAL =				\$ 2,610.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(l)).				\$ -	
TOTAL NATIONAL FEE =				\$ 2,610.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$.00	
TOTAL FEES ENCLOSED =				\$ 2,610.00	
				Amount to be refunded:	\$.00
				Amount to be charged:	\$.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international application to pending status.					
SEND ALL CORRESPONDENCE TO:					
CUSTOMER NO. 01933					
Marshall J. Chick Frishauf, Holtz, Goodman & Chick P.C. 220 5TH AVE FL 16 NEW YORK NY 10001-7708					
				SIGNATURE	
				Marshall J. Chick	
				NAME	
				26.853	
				REGISTRATION NUMBER	

10/551252

JC12 Rec'd PCT/PTC 28 SEP 2005

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Appln No. : To be assigned (U.S.
National Phase of
PCT/JP2004/004412
filed March 29, 2004)

Applicant(s): Kazunori KATAOKA et al.

Filed : CONCOMITANTLY HEREWITH

For : OPTHALMIC DRUG DELIVERY
SYSTEM USING POLYMER MICELLE

Art Unit :

Examiner :

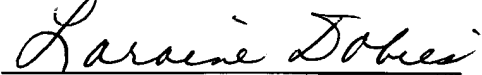
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Laraine Dobies

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concurrently herewith, please consider
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extension of time, and to the extent
not tendered by check attached hereto,
authorization to charge the extension
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REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

COMMISSIONER FOR PATENTS
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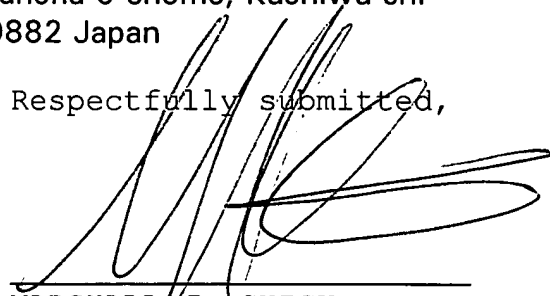
S I R :

It is requested that the following assignment information be
published as part of the 18 month publication of the present
application:

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Respectfully submitted,


MARSHALL J. CHICK
Reg. No. 26,853

10/551252

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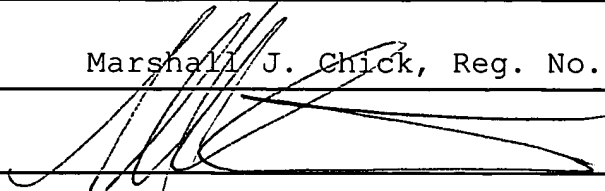
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Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CHANGE OF CORRESPONDENCE ADDRESS <i>Application</i> Address to: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	
	Filing Date	Herewith
	First Named Inventor	Kazunori KATAOKA
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	05649/HG

Please change the Correspondence Address for the above-identified application to: <input checked="" type="checkbox"/> Customer Number [01933] → <i>Type Customer Number here</i>					<div style="border: 1px solid black; padding: 10px; text-align: center;">01933</div>		
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I am the: <input type="checkbox"/> Applicant/Inventor. <input type="checkbox"/> Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). <input type="checkbox"/> Attorney or Agent of record. <input checked="" type="checkbox"/> Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number <u>26,853</u> .							
Typed or Printed Name		Marshall J. Chick, Reg. No. 26,853					
Signature							
Date		September 28, 2005					
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.							
<input checked="" type="checkbox"/> Total of <u>1</u> form is submitted.							

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